

# Syntax Checker with Real-Time Feedback

## Field of the Invention

5           The present invention relates to data processing systems. More particularly, the present invention relates to syntax validation.

## Background of the Invention

10           Computer systems vary to a large degree, but a common trait shared by user-based computer systems is the ability to receive information from a user, manipulate it somehow, and present the information back to the user. Generally speaking the information presented to the user by the computer system varies based upon the information provided by the user as input. This input information comes in many forms. It may be nothing  
15           more than a double click with a pointing device or it may amount to pages and pages of typewritten text for a paper or a book. In some cases it is important for the user to format the information in a specific way. For example, a computer user who sets a password or who submits a database query must take care to ensure that the input information follows a particular format. If care is not taken, the user password will not be set or the database  
20           query will not be valid. This patent involves how a computer system provides feedback to a user when receiving information that has been formatted incorrectly.

          Present day feedback mechanisms do inform the user of the improperly formatted password. More sophisticated mechanisms may even be specific about the particular rule  
25           that has been violated. The problem, though, is that passwords, like many format-specific items, often have format requirements involving several different rules, and the rules may vary depending upon anticipated uses. For example, a password may be valid on for one resource, but not for another. This means, of course, that the user is told only that there is a format problem, or in the best case that there is a specific formatting problem, but the  
30           user is not told whether other formatting rules have been violated. The user, then, may

correct one formatting problem only to learn later that the solution to the first problem causes one or more errors relative to other formatting requirements. Naturally, this can make for a very frustrating experience for the user.

- 5           Without a way to better inform users regarding formatting problems, user frustration regarding format-specific information entry will persist.

11/11/2011 11:11:11 AM

## Summary of the Invention

The problems set forth above are solved by the syntax checker of the present invention. The syntax checker simultaneously provides real-time feedback to the user regarding more than one syntactic requirement. For example, if three rules exist for the entry of format-specific information, the syntax checker of the present invention provides simultaneous feedback to the user regarding success or failure for each of the three rules. As a further feature, the syntax checker of the present invention can be configured by the user to provide feedback about certain rules or rule sets and to omit feedback for other rules or rule sets.

Also disclosed is a password validation mechanism that checks a password string against the requirements of more than one password-protected resource. For example, if a user wants to use one password to access three different resources, the disclosed password validation mechanism will validate the password string against the password requirements for each of the three resources and provide visual feedback to the user as to the success/failure of the password string for each resource. This visual feedback is provided using three different visual cues.

## Brief Description of the Drawings

Figure 1 is a block diagram of the computer system of the preferred embodiment of the present invention.

5

Figure 2A is a flow diagram that shows steps used to carry out the password validation mechanism of the preferred embodiment of the present invention.

Figure 2B is a block diagram that shows the password validation record of the preferred embodiment of the present invention.

10

Figures 3A through 3C are example screen shots of the visual cues used in the preferred embodiment.

Approved for Release by NSA on 09-08-2013 pursuant to E.O. 13526

## Description of the Preferred Embodiment

Turning now to the drawings, Figure 1 shows a block diagram of the computer system of the preferred embodiment. The computer system of the preferred embodiment is an enhanced IBM Personal Computer 300PL. While computer system 100 is used as a client computer system within an IBM® eServer iSeries network, those skilled in the art will appreciate that the mechanisms and apparatus of the present invention apply equally to any computer system, regardless of whether the computer system is a complicated multi-user computing apparatus, a single user workstation, or a handheld device. As shown in the exploded view of Figure 1, computer system 100 comprises main or central processing unit (CPU) 105 connected to memory 135 and network adapter 110. These system components are interconnected through the use of system bus 130.

Computer system 100 of the preferred embodiment utilizes well known virtual addressing mechanisms, allowing the programs of computer system 100 to work against a large virtual storage entity instead of against multiple, smaller storage entities. Memory 135 is, however, shown on Figure 1 as a monolithic entity because the programs of the preferred embodiment are not dependent upon any one type of memory arrangement (i.e., as stated, the present invention may be practiced on a variety of systems from a mainframe computer system to a handheld device).

There are a number of programs shown to reside in memory 135. Operating system 140 of the preferred embodiment is the multitasking operating system known in the industry as Windows 2000®, which is licensed by Microsoft Corporation. However, those skilled in the art will appreciate that the spirit and scope of the present invention is not limited to any one operating system. Also shown in memory 135 is Operations Navigator 160, which is licensed by IBM Corporation. Operations Navigator 160 is used within an IBM eServer iSeries networked computer system to provide graphical user interface access from client to server. Within Operations Navigator 160 is the syntax checker of the preferred embodiment. The syntax checker is represented by the combination of Password Validation Mechanism 165 (PVM 165) and Password Validation

Records 168. PVM 165 uses Password Validation Records to simultaneously provide real-time feedback to the user regarding more than one password-related syntactic requirement. However, those skilled in the art will appreciate that the present invention is not limited to password-related syntactic requirements. Indeed other applications of the present inventions are equally appropriate. For example, the present invention could be applied to the multiple syntactic requirements of database formulas, database queries, and/or to compiler driven requirements for converting source code to object code. Lastly, resources 150 are shown in memory 135. In the preferred embodiment, resources 150 are password-protected entities. Database access, file access, applications (e.g., email, etc.), and network access are examples of such password-protected entities.

Also shown within computer system 100 is network adapter 110. Network adapter 110 is used to allow computer system 100 to participate in the network of the eServer iSeries system.

It is important to note that while the present invention has been (and will continue to be) described in the context of a fully functional computer system, the mechanisms of the present invention are capable of being distributed as a program product in a variety of forms, and there is no limitation as to the particular type of signal bearing media used to actually carry out the distribution. Examples of signal bearing media include: recordable type media such as floppy disks and CD ROMs and transmission type media such as digital and analog communications links.

Figure 2A is a flow diagram that shows steps used to carry out PVM 165 of the preferred embodiment of the present invention. In block 200, PVM 165 receives a password change event. In the preferred embodiment, a password change event is any user action that causes one or more characters within a password string to change. Examples include adding a character, deleting a character, pasting one or more new characters on top of one or more existing characters. As will become clear, PVM 165 executes once per resource per character change. So, if there are three resources at issue and the user is entering the fourth character of a password string, PVM 165 will have

already executed at least eleven times. A password in the preferred embodiment is a string of one or more characters.

In processing block 205, PVM 165 loads the first password validation record. As  
5 discussed above, the preferred embodiment uses one password validation record for each resource type.

Password validation record 265 of the preferred embodiment is shown in Figure 2B. Password Validation Record 265 is one of Password Validation Records 168. Icon  
10 position field 267 is used to store the display position of the success or failure icon for the password-protected resource that is represented by the particular password validation record. Success and failure icon fields (269 and 271 respectively) are used to store the respective success and failure icons for the password-protected resource at issue. Success and failure message fields (273 and 275 respectively) are similarly used to store the  
15 respective success and failure messages for the password-protected resource at issue. Feedback enabled field 277 is a binary field set by the user to indicate whether feedback is desired to with respect to the particular resource. In the preferred embodiment, character success color and failure color fields (279 and 283 respectively) are used to present the characters to the user in a highlighted fashion. Character success color is black and the  
20 character failure color is different for each resource to point out which character(s) is problematic for which resource. If a given character is a problem for more than one resource, its color will be shown based upon the last resource checked. The check routine field is used to specify the program that is to be used to validate the syntax of the particular password for the resource at issue. Said another way, PVM 165 uses this field  
25 to invoke a program to check the validity of the password string for the resource represented by the particular password validation record. The last field in password validation record 265, next record field 285, is used to chain password validation records together so that PVM 165 can reference and load each password validation record and thereby syntactically check the password string based on the syntactic requirements of  
30 each resource.

Patent 6,333,333

In processing block 206, PVM 165 checks whether the user wants feedback for the particular resource. This is accomplished by checking feedback-enabled field 277 of PVR 265. The exact way in which this field is set by the user is not important to the benefits and advantages of the preferred embodiment, and is therefore, not described herein. If the user does not want feedback for the particular resource, PVM 217 proceeds to block 217 to load the next password validation record.

Assuming here that feedback is desired, PVM 165 sets the current string of characters to the success color contained in character success color field 279 [processing block 207].

As mentioned in the summary section of this patent, visual feedback regarding syntactic conformance is provided to the user of the preferred embodiment via three different visual cues. The character-based type of cue involves highlighting problematic characters in the password string in some fashion, while treating successful characters in the standard way (i.e., black in the preferred embodiment). As stated, problem characters can be highlighted through the use of underlining, color, italics, or the manipulation of some other type of character-oriented attribute(s) (color is used in the preferred embodiment). The next type of visual cue involves the use of success/failure messages. These messages are implemented as floating text in the preferred embodiment. The last type of visual cue involves the use of success icons and failure icons for each of resource (see processing blocks 225 and 235).

Once the character indicators have been set to "success" in processing block 207, PVM 165 invokes the program referenced in check routine field 287 of password validation record 265 to validate the password represented by the current string. This validation is performed based upon the syntactic requirements of the resource represented by the password validation record [processing block 220]. The information returned from the validation program amounts to a list of problematic characters. Of course, if there are no problematic characters, the current string is determined to be syntactically valid for the particular resource. The returned information is considered in decision block 230. If there are no errors reported, PVM 165 retrieves the success icon from success icon field 269 of password validation record 265 and places it in the screen position specified by icon



position field 267 [processing block 235]. PVM 165 also sets the float message for the success icon using success message field 273 so that an appropriate message is displayed when the user hovers a position indicator over the success icon [processing block 245]. If errors are reported, PVM 165 retrieves the failure icon from failure icon field 271 of password validation record 265 and places it in the screen position specified by icon position field 267 [processing block 225]. PVM 165 also sets the float message for the failure icon using failure message field 275 so that an appropriate message is displayed when the user hovers a pointing device over the failure icon [processing block 240]. Problematic characters are then highlighted in processing block 260.

Regardless of whether errors were detected in decision block 230, the execution path of PVM 165 ultimately proceeds to processing block 217 where PVM 165 attempts to load the next password validation record using next record field 285. If next record field 285 is empty, meaning that there are no more records in the chain (i.e., password-protected resources to check), PVM 165 terminates execution in block 215. If the next record is loaded successfully, PVM 165 continues processing for the next password-protected resource in [processing block 220]. This logic is represented by decision block 210.

Figures 3A and 3B show examples of the icon and message cues used in the preferred embodiment. Shown on Figure 3A are success icons 300, 305, and 310. Each of these icons represent a particular resource that is available to the user. As shown, the syntax of current password string 315 is simultaneously shown as being correct for each of the three resources at issue. Floating success message 320 is displayed to the user when the user hovers their position indicator (not shown) over success icon 310. Shown on Figure 3B are success icons 305, and 310 and failure icon 325. Again, each of these icons represent a particular resource that is available to the user. As shown, the syntax of current password string 335 is correct for the resources represented by success icons 305 and 310, but incorrect for the resource represented by failure icon 325. Floating failure message 330 is displayed to the user when the user hovers their position indicator (not shown) over failure icon 325.

FIGURE 3C

Figure 3C shows an example of how character cues are used in the preferred embodiment. Figure 3C shows what password string 335 would look like if character highlighting were enabled for the 5250 terminal resource. For the purposes of explanation, the reader is asked to assume here that proper syntax for the 5250 terminal resource involves only alphabetic characters. Thus, the numeric character “1” is shown in highlighted form to help the user identify the problematic character. Of course, if more than one numeric character had been entered, more highlighting would be used (note: as mentioned, color is used in the preferred embodiment, but underlining is used in Figure 3C to accommodate black and white patent printing restrictions). It should also be noted that substitute characters, such as an asterisks (“\*”), are highlighted in the case where they are used to obscure passwords for security purposes.

The embodiments and examples set forth herein were presented in order to best explain the present invention and its practical application and to thereby enable those skilled in the art to make and use the invention. However, those skilled in the art will recognize that the foregoing description and examples have been presented for the purposes of illustration and example only. The description as set forth is not intended to be exhaustive or to limit the invention to the precise form disclosed. Many modifications and variations are possible in light of the above teaching without departing from the spirit and scope of the following claims. For example, while three different types of visual cues are used in the preferred embodiment, other types of visual cues could be used. Similarly, while visual cues are used in the preferred embodiment other types of cues, like audio or tactile cues, could also be used.